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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |                         |
|------------------------|-------------------------|
| Attorney Docket No.    | 6331.00018              |
| First Inventor         | Thompson Baker et al.   |
| Title                  | Automatic Card Shuffler |
| Express Mail Label No. | EV343625713US           |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 20]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 11]
5. Oath or Declaration [Total Sheets       ]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check \$385; International Search Report

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/226,394

Prior application information:

Examiner Dolores R. CollinsArt Unit: 3711

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number: 29747 OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type) Rob L. PhillipsRegistration No. (Attorney/Agent) 40,305

Signature

Date January 14, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

011404

22387 U.S. PTO  
10/757785

011404

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   |                      |
| Filing Date          | 01/14/2004           |
| First Named Inventor | Thompson Baker et al |
| Examiner Name        |                      |
| Group Art Unit       | 3711                 |
| Attorney Docket No.  | 6331.00018           |

TOTAL AMOUNT OF PAYMENT (\$ ) 385.00

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |                     |
|------------------------|---------------------|
| Deposit Account Number | 502466              |
| Deposit Account Name   | Quirk & Tratos Chtd |

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|-----|----------------------------|----------------------------|------------------------|----------|
| 101 | 740                        | 201 370                    | Utility filing fee     | 385.00   |
| 106 | 330                        | 206 165                    | Design filing fee      |          |
| 107 | 510                        | 207 255                    | Plant filing fee       |          |
| 108 | 740                        | 208 370                    | Reissue filing fee     |          |
| 114 | 160                        | 214 80                     | Provisional filing fee |          |

SUBTOTAL (1) (\$ ) 385.00

### 2. EXTRA CLAIM FEES

|  | Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--|--------------|--------------------|--------------------|--------------|----------------|----------|
|  | 14           | 3                  |                    | -20** = 0    | 9.00           | 0.00     |
|  |              |                    |                    | -3** = 0     | 43.00          | 0.00     |
|  |              |                    |                    |              |                | 0.00     |

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|-----|----------------------------|----------------------------|--|
| 103 | 18                         | 203 9                      | Claims in excess of 20                                     |
| 102 | 84                         | 202 42                     | Independent claims in excess of 3                          |
| 104 | 280                        | 204 140                    | Multiple dependent claim, if not paid                      |
| 109 | 84                         | 209 42                     | ** Reissue independent claims over original patent         |
| 110 | 18                         | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Fee Code | Large Entity (\$) | Small Entity (\$) | Fee Description  | Fee Paid |
|----------|-------------------|-------------------|--|----------|
| 105      | 130               | 205 65            | Surcharge - late filing fee or oath  |          |
| 127      | 50                | 227 25            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139      | 130               | 139 130           | Non-English specification  |          |
| 147      | 2,520             | 147 2,520         | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112      | 920*              | 112 920*          | Requesting publication of SIR prior to Examiner action                     |          |
| 113      | 1,840*            | 113 1,840*        | Requesting publication of SIR after Examiner action                        |          |
| 115      | 110               | 215 55            | Extension for reply within first month                                     |          |
| 116      | 400               | 216 200           | Extension for reply within second month                                    |          |
| 117      | 920               | 217 460           | Extension for reply within third month                                     |          |
| 118      | 1,440             | 218 720           | Extension for reply within fourth month                                    |          |
| 128      | 1,960             | 228 980           | Extension for reply within fifth month                                     |          |
| 119      | 320               | 219 160           | Notice of Appeal   |          |
| 120      | 320               | 220 160           | Filing a brief in support of an appeal                                     |          |
| 121      | 280               | 221 140           | Request for oral hearing   |          |
| 138      | 1,510             | 138 1,510         | Petition to institute a public use proceeding                              |          |
| 140      | 110               | 240 55            | Petition to revive - unavoidable   |          |
| 141      | 1,280             | 241 640           | Petition to revive - unintentional   |          |
| 142      | 1,280             | 242 640           | Utility issue fee (or reissue)   |          |
| 143      | 460               | 243 230           | Design issue fee   |          |
| 144      | 620               | 244 310           | Plant issue fee  |          |
| 122      | 130               | 122 130           | Petitions to the Commissioner  |          |
| 123      | 50                | 123 50            | Processing fee under 37 CFR 1.17(q)  |          |
| 126      | 180               | 126 180           | Submission of Information Disclosure Stmt                                  |          |
| 581      | 40                | 581 40            | Recording each patent assignment per property (times number of properties) |          |
| 146      | 740               | 246 370           | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149      | 740               | 249 370           | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179      | 740               | 279 370           | Request for Continued Examination (RCE)                                    |          |
| 169      | 900               | 169 900           | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

## SUBMITTED BY

## Complete (if applicable)

|                   |                 |                                   |        |           |              |
|-------------------|-----------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Rob L. Phillips | Registration No. (Attorney/Agent) | 40,305 | Telephone | 702-792-3773 |
| Signature         |                 |                                   |        | Date      | 01/14/2004   |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                   |                         |                    |                |
|-------------------|-------------------------|--------------------|----------------|
| Application No. : | to be assigned          | Confirmation No. : | to be assigned |
| Applicant :       | Baker Thompson et al.   |                    |                |
| Filed :           | Herewith                |                    |                |
| Title :           | AUTOMATIC CARD SHUFFLER |                    |                |
| TC/A.U. :         | 3711                    |                    |                |
| Examiner :        | Dolores R. Collins      |                    |                |
| Docket No. :      | 6331.00018              |                    |                |
| Customer No. :    | 29747                   |                    |                |

Mail Stop PATENT APPLICATIONS  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

**NON-PUBLICATION REQUEST AND CERTIFICATION  
UNDER 35 U.S.C. 122(b)(2)(B)(i)**

Commissioner:

I hereby certify that the invention disclosed in the application submitted herewith has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the application submitted herewith not be published under 35 U.S.C. 122(b).

Quirk & Tratos  
3773 Howard Hughes Pkwy.  
Suite 500 North  
Las Vegas, Nevada 89109

Telephone : 702-792-3773  
Facsimile : 702 792-9002

Respectfully submitted,

By: \_\_\_\_\_

Rob L. Phillips  
Registration No. 40,305

Date: January 14, 2004